# SOUNDING BOARD

### **BREAST-CANCER MANAGEMENT**

## **Alternatives to Radical Mastectomy**

THE conclusions of the NIH consensus meeting on "The Treatment of Primary Breast Cancer" published in this issue of the Journal, deserve more consideration than mere acceptance or rejection depending on whether they support one's personal point of view. The report does not indicate the reasons for the conclusions, and they undoubtedly differed among the participants. I was a participant, and I should like to present my reasons for the recommendations and some personal thoughts about the meaning of the report.

The recommendations that total mastectomy and axillary dissection should replace the Halsted radical mastectomy as the current treatment standard and that the evaluation of procedures aimed at preserving the breast should be vigorously pursued were not arbitrary ones. They emerged from the results of investigations that have culminated in a new conception of the biology of breast cancer.

Disagreement about the surgical management of breast cancer is related to differences in perception of the biology of the disease, particularly in terms of tumor spread. Two divergent hypotheses of tumor biology are at the heart of the disagreement. The

The New England Journal of Medicine Downloaded from nejm.org at KEIO UNIV SHINANOMACHI MEDIA CTR on September 25, 2023. For personal use only. No other uses without permission. From the NEJM Archive. Copyright © 2010 Massachusetts Medical Society. All rights reserved.

hypothesis that motivated Halsted holds that: the bloodstream is of little importance as a route of metastasis; tumor cells traverse lymphatics by direct extension; a growing tumor remains localized at its site of origin, spreads to regional lymph nodes and then systemically in an orderly defined manner; regional lymph nodes provide an effective barrier to the passage of tumor cells; and a tumor is autonomous of its host.<sup>1</sup> If one accepts this hypothesis, the therapeutic approach taken must of necessity differ from the approach taken by the investigator who accepts the alternative hypothesis, which is that cancer is a systemic disease involving a complex spectrum of hosttumor interrelations and that variations in localregional therapy are unlikely to affect survival substantially.

I have participated during the past two decades in many of the laboratory and clinical studies that contributed to the formulation of an alternative hypothesis that challenges halstedian principles of surgery and to the conclusions of the NIH consensus report. The following is a brief summary of some of these studies.

My colleagues and I have demonstrated that regional lymph nodes are not a barrier to the dissemination of tumor cells<sup>2</sup>; have indicated the biologic importance of these nodes and have shown that there are biologic, rather than anatomic, reasons why certain nodes in patients with cancer contain metastases while others do not.3 We have shown that tumor cells in the bloodstream enter the lymphatics and vice versa, an observation that indicates that the blood and lymphatics form a unified system in terms of tumorcell dissemination.<sup>4</sup> Those findings led us to conclude that there is no orderly pattern of tumor-cell dissemination that could be based on mechanical considerations. Studies first undertaken in 1958 indicated that host factors are important in the development of metastases and that a tumor is not autonomous of its host.5 The presence of dormant tumor cells was demonstrated, and it was shown that perturbation of the host could produce lethal metastases from those cells.<sup>6</sup> We subsequently believed that breast cancer is a systemic disease — probably from its inception. That statement never implied that overt metastases will develop in all persons at some time; nor does it imply that only those with metastases represent the population with disseminated disease. The first clinical trial of the National Surgical Adjuvant Breast and Bowel Project (NSABP) showed that an inordinately high proportion of patients become treatment failures within 10 years after "curative" operations, a finding that lends support to the concept that clinical breast cancer is a systemic disease.<sup>7</sup> On the basis of these and other findings, the hypothesis was formulated that the regional lymph node is an indicator of host-tumor relations. The lymph node that contains tumor cells is a reflection of an interrelation that permits the development of metastases rather than being an instigator of distant disease. Clinical trials conducted by the NSABP indicated that the number of axillary nodes

containing tumor cells is an important prognostic variable.<sup>8</sup> These trials also showed that recurrence and survival of more than 2000 patients studied by the NSABP were independent of the number of axillary nodes removed and examined.9 Patients with five to 10 nodes that were free of tumor cells showed recurrence and survival rates similar to those in patients with 25 to 30 nodes free of tumor. Conversely, patients with two of five nodes positive for tumor were at the same risk as those with two of 30 nodes positive. Those observations raised questions concerning the virtue of the "halstedian-type" axillary dissection.

In August, 1971, members of the NSABP undertook a prospective randomized clinical trial to confirm or deny the halstedian principles of cancer surgery. The results of that trial, which involved more than 1700 women, indicate<sup>10</sup> that in patients without clinical evidence of node involvement (40 per cent of whom had histologically positive nodes), three distinctly different treatment regimens - radical mastectomy, total (simple) mastectomy and local-regional irradiation or total mastectomy and remov-yielded no substantial difference in the overall incidence of treatment failure, the incidence of distant metastases or survival. Similarly, in patients with clinical evidence of node involvement, treatment by radical mastectomy or total mastectomy and localregional irradiation yielded no substantial difference on the basis of the aforementioned criteria. Since the findings do not support the efficacy of the en-bloc dissection (the keystone of the halstedian principles of tumor management) and fail to demonstrate either a benefit or disadvantage for the removal of axillary nodes in incidence of distant metastases or survival, they refute halstedian principles and strengthen the credibility of the hypothesis. Thus, by repudiating the radical mastectomy, the consensus statement, wittingly or unwittingly, also rejects the principles that had provided the scientific basis for the operation. For that reason, if for no other, the report is of singular importance in the annals of oncology.

Total mastectomy and axillary dissection, as recommended in the consensus report, are not synonymous with a modified radical mastectomy. The term "modified radical mastectomy" is not descriptive or accurate, and therefore should not be employed. This is particularly true since axillary dissection, in conjunction with either total or segmental mastectomy, is for patient-staging purposes and is therapeutic only in that it reduces the possibility of subsequent regional recurrences. It does not alter the incidence of systemic recurrence or patient survival.

The negation of the radical mastectomy and the principles on which it is based has eliminated most of the biologic considerations that might contraindicate the performance of breast-conserving operations. The phenomenon of tumor multicentricity, however, remains to be considered.<sup>11</sup> Sound justification exists for a clinical test of the hypothesis that multicentricity is not a deterrent to the performance of operations that

The New England Journal of Medicine Downloaded from nejm.org at KEIO UNIV SHINANOMACHI MEDIA CTR on September 25, 2023. For personal use only. No other uses without permission

From the NEJM Archive. Copyright © 2010 Massachusetts Medical Society. All rights rese

preserve the breast. Despite the substantial incidence of multifocal lesions in both breasts of women with cancer, only rarely is there evidence of two or more overt cancers in the same breast, synchronous bilateral tumors are uncommon, and the incidence of an asynchronous primary tumor in the uninvolved breast fails by far to approach the incidence of occult lesions detected by random biopsy or at autopsy. All cancers do not progress to overt lesions; other solid tumors have been detected by pathological means with much greater frequency than they are seen clinically. Differences in opinion regarding the importance of multicentricity have evoked controversy about segmental mastectomy. That basic biologic issue cannot be resolved by "populism" or emotional trends. By applying the scientific method for clinical problem solving, the NSABP is conducting the only prospective, randomized controlled clinical trial in the United States and Canada to evaluate the efficacy of segmental mastectomy in conjunction with axillary dissection. It is the only clinical trial evaluating the biologic importance of tumor multicentricity. To accomplish this goal, women who have undergone segmental mastectomies are divided into two groups - one will receive breast irradiation, and the other will not. No published data have indicated that breast irradiation is necessary or even desirable in all patients. Although 400 women have already entered the trial, considerably larger numbers are needed to obtain credible data. Surgeons are invited to participate in what this investigator believes is the most important trial on breast cancer ever conducted since it may demonstrate that breast removal is not necessary in all patients. Interested persons can obtain further information from the NSABP.

From this overview, it is apparent that therapeutic strategies for breast cancer have evolved over time in stepwise fashion and have resulted from a better understanding of the biology of the disease. It is logical to anticipate that this course of events will continue. Consequently, the present posture, like the preceding ones, must be considered provisional.

University of Pittsburgh School of Medicine Pittsburgh, PA 15261

Bernard Fisher, M.D.

### REFERENCES

- 1. Fisher B: The surgical dilemma in the primary therapy of invasive breast cancer: a critical appraisal. Curr Probl Surg October, 1970,
- pp 1-53 2. Fisher B, Fisher ER: Transmigration of lymph nodes by tumor cells. Science 152:1397-1398, 1966
- 3. Fisher B, Saffer EA, Fisher ER: Studies concerning the regional lymph node in cancer. VII. Thymidine uptake by cells from nodes of breast cancer patients relative to axillary location and histopathologic discriminants. Cancer 33:271-279, 1974
- 4. Fisher B, Fisher ER: The interrelationship of hematogenous and lymphatic tumor cell dissemination. Surg Gynecol Obstet 122:791-798, 1966
- 5. Fisher ER, Fisher B: Host influence on tumor growth and dissemination, The Biological Basis of Radiation Therapy. Edited by E Emanuel, MD Schwartz. Philadelphia, JB Lippincott Company, 1966, pp 484-517
- 6. Fisher B, Fisher ER: Experimental evidence in support of the dormant tumor cell. Science 130:918-919, 1959
- Fisher B, Slack N, Katrych D: Ten year follow-up results of patients with carcinoma of the breast in a co-operative clinical trial

evaluating surgical adjuvant chemotherapy. Surg Gynecol Obstet 140:528-534, 1975

- Fisher B, Ravdin RG, Ausman RK, et al: Surgical adjuvant chemotherapy in cancer of the breast: results of a decade of cooperative investigation. Ann Surg 168:337-356, 1968
- Fisher B, Slack NH: Number of lymph nodes examined and the prognosis of breast carcinoma. Surg Gynecol Obstet 131:79-88, 1970
- 10. Progress Report of the Sixteenth Semi-annual Meeting of the National Surgical Adjuvant Project for Breast and Bowel Cancers (NSABP), Spring Meeting, Tucson, Arizona, March 28-31, 1979
- Fisher ER, Gregoria R, Redmond C, et al: Pathologic findings from the National Surgical Adjuvant Breast Project (Protocol No. 4). I. Observations concerning the multicentricity of mammary cancer. Cancer 35:247-254, 1975

| Ezra M. Greenspan, M.D.<br>Mount Sinai School of Medicine |
|---|

The New England Journal of Medicine Downloaded from nejm.org at KEIO UNIV SHINANOMACHI MEDIA CTR on September 25, 2023. For personal use only. No other uses without permission. From the NEJM Archive. Copyright © 2010 Massachusetts Medical Society. All rights reserved.